

**UTILITY PATENT APPLICATION TRANSMITTAL****(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No. COS99070

Total Pages in this Submission

52

**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application  
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SECURE TRANSACTION CARD USING BIOMETRICAL VALIDATION**

and invented by: **Thomas J. Herder**

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation    ☐ Divisional    ☐ Continuation-In-Part    of prior application No.:

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-In-Part    of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-In-Part    of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1.    ☒ Filing fee as calculated and transmitted as described below
2.    ☒ Specification having 38 pages and including the following:
  - a.    ☒ Descriptive Title of the Invention
  - b.    ☐ Cross Reference to Related Applications *(if applicable)*
  - c.    ☐ Statement Regarding Federally sponsored Research/Development *(if applicable)*
  - d.    ☐ Reference to Microfiche Appendix *(if applicable)*
  - e.    ☒ Background of the Invention
  - f.    ☒ Brief Summary of the Invention
  - g.    ☒ Brief Description of the Drawings *(if drawings filed)*
  - h.    ☒ Detailed Description
  - i.    ☒ Claim(s) as Classified Below
  - j.    ☒ Abstract of the Disclosure

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**Application Elements (continued)**

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- ☐ Formal ☒ Informal Number of Sheets 7
4. ☒ Oath or Declaration
- a. ☒ Newly Executed b. ☐ Unexecuted c. ☒ With Power of Attorney d. ☐ Without Power of Attorney
- e. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ **DELETION OF INVENTORS(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63 (d)(2) and 1.33 (b).
5. ☐ Incorporation By Reference (usable if Box 4e is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4e, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers (cover sheet and document(s))
9. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)
10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO 1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail

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15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	# Allowed	# Extra	Rate	Fee
Total Claims	22	-20 =	2	x \$18.00	\$ 36.00
Indep. Claims	4	- 3 =	1	x \$78.00	\$ 78.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$ 0.00
BASIC FEE					\$ 690.00
OTHER FEE (specify purpose)					
TOTAL FILING FEE					\$ 804.00

☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

■ The Commissioner is hereby authorized to charge and credit Deposit Account No. 13-2491 as described below.  
A duplicate copy of this sheet is enclosed.

■ Charge the amount of \$804.00 as filing fee.

■ Charge the amount of \$40.00 as the Assignment Recordation Fee.

☐ Credit any overpayment.

■ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated:



Carl J. Evens  
Attorney for applicant(s)  
Registration No.: 33,874

cc:

Send all correspondence to:  
Technology Department  
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